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Attorney for Defendant Harold B. Chapman, Jr.

Plaintiff,

IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA SAN FRANCISCO HEADQUARTERS

22 UNITED STATES OF AMERICA.

C1VIL NO. - C94 1033 CAL

UNITED STATES OF AMERICA

PARTIAL CONSENT DECREE

N.

VS.

PARTIAL CONSENT DECREE
RESOLVING THE SECOND, THIRD
AND FOURTH CLAIMS FOR RELIEF

HAROLD B. CHAPMAN, JR.,

Defendant.

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WHEREAS Plaintiff the UNITED STATES OF AMERICA, on behalf of the Environmental Protection Agency ("EPA"), has filed a Complaint against Defendant HAROLD B. CHAPMAN, Jr. ("CHAPMAN") alleging Four Claims for Relief under the Comprehensive Environmental Response, Compensation & Liability Act ("CERCLA").

WHEREAS, the First Claim for Relief alleges that EPA incurred response costs and that CHAPMAN is liable for those costs under Section 107(a) of CERCLA, 42 U.S. C. § 9607(a); the Second Claim for Relief alleges that CHAPMAN is liable for treble damages under Section 107(c)(3) of CERCLA, 42 U.S.C. § 9607(c)(3); the Third Claim for Relief alleges that CHAPMAN is liable for civil penalties under Section 106(b) of CERCLA, 42 U.S.C. § 9601(b); and the Fourth Claim for Relief alleges that CHAPMAN is liable for civil penalties under Section 104(e) of CERCLA, 42 U.S.C. § 9604(e); and

WHEREAS, CHAPMAN disputes and denies all liability under CERCLA for the First, Second, Third, and Fourth Claims for Relief, and nothing herein shall be construed as an admission of liability by CHAPMAN to any allegation contained in the Complaint; and

WHEREAS, this Partial Consent Decree was negotiated and executed by the Parties to avoid the continuation of expensive and protracted litigation;

WHEREAS, CHAPMAN and the UNITED STATES do hereby consent to entry of this Partial Consent Decree as the most appropriate means of resolving the Second, Third, and Fourth Claims for Relief. This Partial Consent Decree and settlement herein does not affect in any way the First Claim for Relief, which the parties do not agree to settle;

I. Jurisdiction and Venue

1. The Court has jurisdiction over the subject matter and the parties, and venue is properly in this Court.

II. Parties Bound

- 2. The provisions of this Partial Consent Decree shall apply to and be binding on: (a) CHAPMAN, his heirs and assigns, and (b) the UNITED STATES, acting on behalf of the Environmental Protection Agency. CHAPMAN hereby certifies that he is fully authorized to enter into and execute this Partial Consent Decree, and to legally bind himself to its terms. In any action to enforce this Partial Consent Decree, CHAPMAN shall not raise as a defense to enforcement the failure by any of his agents, servants, contractors, employees, attorneys, heirs or assigns to take actions necessary to comply with this Partial Consent Decree.
- 3. CHAPMAN and the UNITED STATES agree to be bound by this Partial Consent Decree and the parties agree not to contest its validity in any subsequent proceeding to implement or enforce its terms.

III. Settlement Payment

- 4. Within fifteen (15) calendar days after the Court's entry of judgment on the First Claim for Relief in favor of the UNITED STATES, CHAPMAN shall pay FIFTY THOUSAND DOLLARS (\$50,000.00) in full and final settlement of the Second, Third, and Fourth Claims for Relief.

2.2

CHAPMAN shall pay any interest accrued on the balance of 2 any unpaid amounts due under this Partial Consent Decree, at the 31 rate of 6.5% per annum, commencing on the date that such amounts Further, this Partial Consent Decree shall be considered 5 an enforceable judgment for purposes of post-judgment collection, and interest, in accordance with Rule 69 of the Federal Rules of 6 7 Civil Procedure and other applicable federal authority. Further, CHAPMAN shall be liable for all fees and costs incurred by the. 8 UNITED STATES to collect any amounts due under this Partial Consent 9 10 Decree, provided the UNITED STATES prevails in any proceeding to

collect any amount due hereunder.

- 6. CHAPMAN shall make the payment due under Paragraph 4, above, in the form of a certified or cashier's check, made payable to "U.S. Department of Justice" and transmitted by U.S. Mail to Patrick Bupara, Assistant United States Attorney, Northern District of California, 450 Golden Gate Avenue, Box 36055, San Francisco, California 95102. The letter transmitting the check shall reference this Partial Consent Decree, the caption and index number of this case, USAO File Number 9401088, the EPA Region and the Site Spill ID Number 0955, and DOJ Case Number 90-11-2-988. CHAPMAN shall send a copy of the check and transmittal letter to Chief, Environmental Enforcement Section, Environmental and Natural Resources Division, U.S. Department of Justice, P.O. Box 7611, Washington, D.C. 20044.
- 7. CHAPMAN shall not deduct the amount paid under this Settlement Payment from his federal, state, or local income taxes.

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IV. Dismissal of Claims

8. Upon full payment of all amounts due under Paragraph 4 of
this Partial Consent Decree, the Second, Third, and Fourth Claims
for Relief shall be dismissed with prejudice, which shall
constitute a full and final release by the UNITED STATES of the
Second, Third, and Fourth Claims for Relief. Nothing in this
Partial Consent Decree shall be construed as a release or covenant
not to sue for any claim or cause of action, administrative or
judicial, civil or criminal, past or future, in law or equity,
which the UNITED STATES may have against CHAPMAN for any violations
of (1) any provision of this Consent Decree or (2) any statute,
law, or regulation whatsoever, other than the violations alleged in
the Second, Third, and Fourth Claims for Relief. CHAPMAN shall not
assert that this Partial Consent Decree provides him with any
defenses to the UNITED STATES' First Claim for Relief, including
but not limited to, waiver, estoppel, laches, compromise, accord
and satisfaction, or claim splitting. The UNITED STATES shall not
assert that this Partial Consent Decree provides the UNITED STATES
with any admission of liability or evidence of admission of
liability in any claim or cause of action, judicial or
administrative, civil or criminal, past or future, in law or
equity, which the UNITED STATES has or may have against CHAPMAN.
Judgment on the First Claim for Relief in favor of the government,
and any judgment on appeal on the First Claim for Relief, shall not
in any way affect the finality of this Partial Consent Decree, and
this Partial Consent Decree shall not affect in any way the rights
of the parties with respect to the First Claim for Relief,
including any right to appeal.

C94 1033 CAL: PARTIAL CONSENT DECREE

- 22

V. Retention of Jurisdiction

9. This Court retains jurisdiction to enforce this Partial Consent Decree until such time as the Second, Third, and Fourth Claims for Relief are dismissed as provided in Paragraph 8 above.

VI. <u>Effective Date</u>

10. This Partial Consent Decree shall become effective upon entry of this Partial Consent Decree by this Court.

ORDER

IT IS SO ORDERED this 16 Hday of Speller, 1996

Charles A. Legge
United States District Judge

C94 1033 CAL: PARTIAL CONSENT DECREE

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1	FOR PLAINTIFF THE UNITED	STATES OF AMERICA:
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3	Dated: 9/9/96	Jon A There
4	•	BRUCE S. GELBER Deputy Section Chief Environmental Enforcement Section
5		Environment and Natural Resources Division
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7	0/ /0/	
8	Dated: 9/10/96	RICHARD A. BEAL
9		KATHRYN SCHMIDT Trial Attorneys
10		Environmental Enforcement Section Environment and Natural Resources
11		Division
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13		MICHAEL A. YAMAGUCHI United States Attorney
14		Northern District of California
15		PATRICK BUPARA Assistant United States Attorney
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Dated: 8.24.96 Regional Administrator U.S. Environmental Protection Agency Region IX OF COUNSEL: GAVIN MCCABE Assistant Regional Counsel U.S. EPA, Region 9 75 Hawthorne Street San Francisco, California 94105

Dated: 6/17/96

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Parol B. Chapman, Jr.